## Fully End-to-End Multi-Channel, Multi-Talker Speech Recognition by Leveraging Foundation Models

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## Motivation

The field of robust speech processing has recently been revolutionized by the adoption of large-scale data self-supervised learning (SSL) and weakly-supervised learning methods. Yet, due to the training strategy of such foundation models, or the actual data that was used, we argue that there is still untapped potential especially when considering everyday conversational speech. Current speech foundation models, such as e.g. wav2vec 2.0 [1] and HuBERT [2] mostly leverage single-speaker speech data (or a synthetically augmented version of it as in WavLM [3]) and thus, while still effective, their training data is inherently mismatched with conversational speech. Regarding weakly supervised models, Whisper [4] likely used significant "speech-in-the-wild" data from web videos during training. However, due to its design, it fails to fully leverage it as it does not really have multi-speaker or diarization capability.

As such, current top-performing systems for multi-talker, multi-channel ASR (e.g most submissions to CHiME-7 and 8 DASR and NOTSOFAR-1<sup>1</sup> challenges) typically consist of a pipeline of independently trained subsystems, which include speaker diarization and source separation to isolate speakers, and single-channel single-speaker ASR applied to the separated audio. Thus errors made by each component propagate, negatively impacting overall performance.

At the same time, while current pre-trained models such as Whisper can be fine-tuned and adapted for the task at hand when inserted in a pipeline, these models are also fundamentally computational intensive and not really suited for processing multi-channel data. In fact, one common technique for multi-channel extension is running some layers in parallel and adapting the model with transform-average-concatenate (TAC) [5] adapters as done in our recent work [6] with WavLM. However, this is still computational intensive due to the fact that these models employ quadratic self-attention mechanisms and, more crucially, expensive convolutional front-ends. This computational burden also fundamentally limits the context of these models which is limited to few tens of seconds, potentially impacting the achievable upper-limit performance on long-form meeting scenarios. Whisper and OWSM [7] obviate to this issue by using the previous transcription result as a prompt for the current context, but this is known to cause hallucinations especially with multi-talker speech, again, due to error propagation [8]. On the other hand recent works suggest that long-term modeling is useful for ASR [9] and, crucially, diarization [10, 11].

## **Research Proposal**

Our aim is to advance the research towards robust speech processing of conversational scenarios by tackling this important problem from two different but complementary directions which are summarized in Figure 1.

- 1. We will assemble a multi-channel, multi-talker ASR system using existing pre-trained subsystems, allowing for further fine-tuning on available training/adaptation multi-channel data. The advantage of this approach is that each subsystem can be be pre-trained on large datasets designated to handle simpler, related tasks (e.g. by leveraging Whisper for single-channel, single-speaker ASR). For this approach we plan to start from our submitted system in the recent CHiME-8 NOTSOFAR-1 challenge [12], which won the jury prize, and move towards end-to-end integration of its diarization and target-speaker ASR (TS-ASR) components.
- 2. We plan to build a "Whisper-style" large-scale pre-trained model that is 1) more computational "friendly", by exploring more efficient modeling mechanisms such as state-space models [13] and sparse attention mechanisms [14] and 2) we want to continue to expand the multi-task framework of Whisper and build a model that is more effective for long-form conversational speech. In detail we want the model to be able to perform diarization jointly with recognition by predicting speaker-id tokens as depicted in Figure 1 left and extend the audio context

<sup>&</sup>lt;sup>1</sup>https://www.chimechallenge.org/



Figure 1: We want to improve conversational speech recognition by exploring two complementary directions: 1) modular end-to-end integration of existing diarization and ASR models and 2) creating a more computational efficient "Whisper-style" model that can perform joint ASR and diarization on long-form data.

to several minutes as in [9]. Also for this direction we can start from recent works [15, 16]. Regarding data, we plan to use the recently proposed YODAS dataset [17] for pre-training such model. We believe that the potential usefulness of such model can also extend beyond mere diarization and ASR. Whisper is often commonly used as a general audio representation extractor, and a model trained to perform also diarization together with ASR could be even more useful in this regard.

Our plan is to work on both directions simultaneously and integrate this newly designed pre-trained model (2) within the modular system (1) by leveraging it directly for multi-talker ASR and compare the performance with our Whisper-based TS-ASR system. As said before, a more efficient large scale pre-trained model is very important as it can drastically make the overall system less computationally cumbersome and more viable in real-world application scenarios (TS-ASR with Whisper requires to perform inference independently for each speaker in the conversation simultaneously).

Our proposal has a strong emphasis on multi-channel and we would like to build a system that is fundamentally array agnostic and can thus generalize to different recording setups. One direction we want to explore, since multi-channel data is scarce, is to use the TAC-based fine-tuning approach from [6]. This is another instance where the two approaches are complimentary since we can design the pre-trained model from the ground-up not only to be more computationally efficient but also to be able to exploit phase information (by e.g. using complex short-time Fourier transform as input feature) thus facilitating a multi-channel extension.

During the JSALT workshop, we hope to address several key questions: what subsystems should constitute the complete model (e.g. diarization + TS-ASR [12] vs. direct multi-talker ASR [15, 18] or continuous speech separation + joint ASR + diarization as in [19]) ? Regarding the direction of building a "Whisper-style" pre-trained model: is a fully end-to-end approach to meeting transcription viable ? Do we still need clustering (e.g. as in [16]) or downstream diarization to ensure speaker-id consistency across the whole meeting ? With what technique/mechanism we can achieve a good trade-off between performance and computational requirements ? How can we integrate different components (especially diarization and ASR) while ensuring the entire pipeline remains differentiable ? How can we deal with multiple channels when we have pre-trained models on single channel data ? Is the approach in [6] the best we can do ?

Finally, regarding evaluation data, this proposal is closely aligned with the objectives of the CHiME challenge, and it has received full support from the CHiME steering committee. As such, we plan to integrate the upcoming CHiME-9 challenges and align our model benchmarking activities accordingly.

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